



**Reporting template for purposes of article 5ae of Council Regulation 833/2014 concerning  
Notification Requirements on Transaction(s) with Russian Port/Airport**

*Article 5ae*

*1. It shall be prohibited to engage in any transaction, directly or indirectly, with ports and locks listed in Part A of Annex XLVII. Part A of Annex XLVII shall include ports and locks in Russia that are used:*

*(a) for the transfer of unmanned aerial vehicles (UAVs) or missiles, related technologies or components thereof in support of Russia's war of aggression against Ukraine;*

*(b) for the transport of goods and technology used in the defence and security sector, from or to Russia, for use in Russia or for Russia's warfare in Ukraine;*

*(c) for the maritime transport of crude oil or petroleum products, as listed in Annex XXV, that originate in Russia or are exported from Russia by vessels practicing irregular and high-risk shipping practices as set out in the International Maritime Organisation General Assembly resolution A.1192(33);*

*(d) for the transport of goods originating in or exported from the Union, as listed in Annexes XI, XX and XXIII to this Regulation, or goods originating in Russia or exported from Russia and imported into the Union, as listed in Annex XXI to this Regulation, and thereby enabling Russia's actions destabilising the situation in Ukraine;*

*(e) in such a way as to facilitate or engage in the violation or circumvention or otherwise significantly frustrate the provisions of this Regulation or of Regulations (EU) No 269/2014, (EU) No 692/2014 or (EU) 2022/263.*

*2. It shall be prohibited to engage in any transaction, directly or indirectly, with airports listed in Part B of Annex XLVII. Part B of Annex XLVII shall include airports in Russia that are used:*

*(a) for the transfer of UAVs or missiles, related technologies or components thereof in support of Russia's war of aggression against Ukraine;*

*(b) for the transport of goods and technology used in the defence and security sector, from or to Russia, for use in Russia or for Russia's warfare in Ukraine;*

*(c) for the transport of goods originating in or exported from the Union listed in Annexes XI, XX and XXIII to this Regulation, or goods originating in Russia or exported from Russia and imported into the Union, as listed in Annex XXI to this Regulation, and thereby enabling Russia's actions destabilising the situation in Ukraine;*

*(d) in such a way as to facilitate or engage in the violation or circumvention or otherwise significantly frustrate the provisions of this Regulation or of Regulations (EU) No 269/2014, (EU) No 692/2014 or (EU) 2022/263.*

*3. Paragraph 1 shall not apply:*

*(a) in the case of a vessel in need of assistance seeking a place of refuge, of an emergency port call for reasons of maritime safety, or for saving life at sea, or for humanitarian purposes, or for the urgent prevention or mitigation of an event likely to have a serious and significant impact on human health and safety or the environment, or as a response to natural disasters;*



*(b) to transactions which are strictly necessary for the direct or indirect purchase, import or transport of natural gas, titanium, aluminium, copper, nickel, palladium and iron ore from or through Russia into the Union, a country member of the European Economic Area, Switzerland, or the Western Balkans;*

*(c) unless prohibited under Article 3m or 3n, to transactions which are strictly necessary for the direct or indirect purchase, import or transport of oil, including refined petroleum products, from or through Russia;*

*(d) to transactions which are necessary for the purchase, import or transport of pharmaceutical, medical, agricultural and food products, including wheat and fertilisers whose import, purchase and transport is allowed under this Regulation;*

*(e) to transactions for the purchase, import or transfer of seaborne crude oil and of petroleum products listed in Annex XXV where those goods originate in a third country and are only being loaded in, departing from or transiting through Russia, provided that both the origin and the owner of those goods are non-Russian.*

*(f)*

*to transactions necessary for the establishment, operation, maintenance, fuel supply and retreatment and safety of civil nuclear capabilities, and the continuation of design, construction and commissioning required for the completion of civil nuclear facilities.*

*4. Paragraph 2 shall not apply to transactions which are strictly necessary for:*

*(a) humanitarian purposes, the evacuation or repatriation of persons, or initiatives providing support to victims of natural, nuclear or chemical disasters;*

*(b) the operation of flights required for attending meetings with the objective of seeking a solution to Russia's war of aggression against Ukraine or of promoting the policy objectives of the restrictive measures;*

*(c) an emergency landing, take-off or overflight;*

*(d) travel for official purposes of members of diplomatic or consular missions of Member States or partner countries in Russia or of international organisations enjoying immunities in accordance with international law;*

*(e) travel, for personal reasons, of natural persons to and from Russia or of members of their immediate families travelling with them;*

*(f) the purchase, import or transport of pharmaceutical, medical, agricultural and food products whose import, purchase and transport is allowed under this Regulation.*

*5. Operators shall inform the competent authority of the Member State where they are incorporated or under whose law they are constituted of any transaction concluded pursuant to paragraph 3 or 4 within 2 weeks of its conclusion. The Member State concerned shall inform the other Member States and the Commission of any information received pursuant to this paragraph within 2 weeks of its receipt.*

***This template is exclusively to be submitted to the Sanctions Monitoring Board, serving as the Competent Authority for the Member State in which the reporting entity is established or for a natural person who is a national of Malta or resides in Malta.***



BORD TA' SORVELJANZA DWAR IS-SANZIONIJET  
SANCTIONS MONITORING BOARD  
MALTA

When completing this template, applicants are to certify that the facts and the information provided is accurate. In line with article 16 of the National Interest (Enabling Powers) Act, the Sanctions Monitoring Board may request further information in the course of its assessment of the notification.

Completed notifications and any supporting documentation should be submitted by email to: [reporting.smb@gov.mt](mailto:reporting.smb@gov.mt) and by post to the following address: The Sanctions Monitoring Board, 16, Triq il-Kukkanja, Santa Venera, Malta.



<b>MERITS OF THE REPORTING</b>	
<p><i>Brief explanation regarding the merits of the case</i></p> <p><i>[Please indicate in the adjacent column whether the brief will be submitted as supplementary documentation or reflected in this template]</i></p>	

<b>PARTIES' IDENTITIES</b>	
<p><i>Name of the Operator</i></p>	
<p><i>Legal Form</i> <i>[Insert Legal Form, e.g., Corporation, Limited Liability Company, etc.]</i></p>	
<p><i>Identification number of Legal Person/Entity/Body</i></p>	
<p><i>Registered Address</i></p>	
<p><i>VAT number (where applicable)</i></p>	



*Shareholding and Management Structures*

**TRANSACTION DETAILS**

*Type of Transaction under paragraphs 3 and 4*

*[e.g. import of medical goods, crude oil,  
emergency port call]*

*Relevant Article and Paragraph Invoked for the  
Exemption*

*Justification for Exemption*

*[Short explanation why the transaction falls  
under the exemptions]*

*Name and Location of Port or Airport Involved*

*Goods or Services Involved*

*Tanker/flight information*

*[Name of Vessel/Aircraft; IMO Ship  
identification Number/ Air Tail Number; Call  
Sign]*



**SUPPORTING DOCUMENTATION**

*Please attach the following supporting documents:*

- 1. Bill of lading/ Air waybill/ Transport documentation*
- 2. Contracts and invoices*
- 3. Export/import licenses (if applicable)*
- 4. Any other relevant documentation should be identified in the adjacent column*

*Date of submission:*

*Name and Surname:*

*Identification Number:*

*Designation:*

*I hereby certify that the information provided in this report is true and accurate to the best of my knowledge.*

X

---