

Reporting to the Sanctions Monitoring Board

This document is to be used as a template to submit information to the Sanctions Monitoring Board.

This template may be completed to provide the Board with information regarding suspected designated persons or entities; assets and property which may be deemed to be targeted by restrictive measures and/or violations or suspected violations of sanctions.

When completing this document, individuals are to certify that facts and the information provided is accurate. Individuals are to be aware that a criminal offence or an administrative penalty may be imposed if one acts in contravention of the National Interest (Enabling Powers) Act or knowingly participates in activities whose object or effect is to circumvent any of the prohibitions or facilitates the contravention of any of such prohibitions.

Kindly email the completed report and any supplementary documents to: reporting.smb@gov.mt with a relevant subject line. Documentation should also be sent to the following address:

The Chairman.

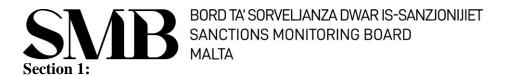
Ministry for Foreign Affairs and Trade Promotion,

Palazzo Parisio,

Merchant Street,

Valletta, VLT 1171,

Malta.



General Information

Kindly complete the below so to indicate the reason for reporting to the Sanctions Monitoring

	Board.	
	Date of Submission:	
I.	Person submitting the report:	
	Name	
•	Company/Organization	
	Job Title	
	Address	
	Email Address	
•	Contact number	
II.	Type of case being reported: Please highlight the relevant option from the (i) Suspected Designated Person and/o (ii) Frozen Assets (section 3) (iii) Suspected Breach (section 4)	
III.	Action taken: Please highlight the relevant option from the (i) Freezing of funds (ii) Rejection of application (iii) Stopping of transaction (iv) None of the above:	

Reporting Designated Persons and/or Entities

Subject Persons are to report their knowledge of, or suspicions of activity undertaken by an individual or entity that is designated under EU, UN or National Sanctions in Malta.

If the sanction breach comprises of more than one person and/or entity, a separate template is to be used.

Suspected Desi	gnated Person
Name of the Person/Entity as given on the Consolidated list	
Name of the Person/Entity if owned or controlled by a designated person	
Sanction Regime the Person/Entity is listed under	

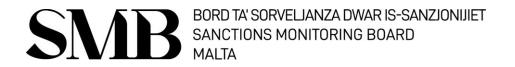
Information on which this Report is based					
What reasons led you to believe that the person/entity concerned is a designated person?					
OR What Reasons led you to believe that the person/entity concerned is owned/controlled by a designated person or entity?					
[The subject person is to provide as much information as possible including their connection with the person/entity concerned; What information the subject person holds and how the issue arose]					
Kindly provide any additional details which are not present on the consolidated list which may help to identify the person or entity. [Example: date of birth, address, passport number, aliases etc.]					

Reporting Frozen Assets

Subject Persons are to report their knowledge of identified frozen assets of designated persons and/or entities. If there are assets belonging to more than one individual or entity, a separate template is to be filled in.

Suspected Desi	gnated Person
Name of the Person/Entity as given on the Consolidated list	
Name of the Person/Entity if owned or controlled by a designated person	
Sanction Regime the Person/Entity is designated under	

Details regarding all Funds and Economic Assets Frozen by the Subject Person (Where applicable)						
Name of financial institution holding the frozen assets						
Details of the Account Holder						
Type of Account						
Credit Balance						
Debit Balance						
Currency						
Date the account was opened						
Any other valuable information relevant to the freezing of the account						



Section 4:

Information regarding a suspected violation

Subject Persons must complete this section to clarify the reason for reporting the suspected or known violation of sanctions.

The report is to include all the known information in relation to the suspected breach activity. Supplementary documentation is to be attached to the submission of this report.

(i)	Suspected Completed Breach
(ii)	Suspected Attempted Breach
W	ho do you suspect has committed or has attempted to commit the suspected
	breach?
	Please provide further details
Provi	de a Summary of the Facts which led you to believe of the suspected sanctions breach
	breach
To in	clude the date of when the breach was discovered; how is was discovered and; the series of actions which led to a suspected breach taking place (if known)
. t	Juder which Sanction Regime has the suspected Sanctions violation occurred?
	much sunction regime has the suspected sunctions violation occurred.
_	

Details concerning the suspected breach:	What does the suspected breach involve?
(Complete appl	icable sections)
Funds	
Describe what type/s of funds are involved	
Economic Resources/Assets	
Describe what type/s of economic	
resources/assets are involved	
Financial Services	
Describe what the financial services are,	
including how and when they were provided	
Reporting Obligations	
Provide the concern for reporting and how	
you suspect it has been breached	

Method of Payment and/or transfer (If applicable)

Example cheque; bank transfer; cash; money order; internet/electronic or physical asset transfer.

Information regarding the Remitter or Sender of the funds

Please provide full information on the remitter/sender of the funds and/or economic resources/assets. To include the: goods involved, accounts, currencies, account names, bank details nationalities of payers and dates.

Intermediary Information

(If applicable)

Please provide any additional information you may have on intermediaries involved in the activity.

Information regarding the Ultimate Beneficiary									
Please provide	information	on the	e ultimate	beneficiaries	of	the	funds	and/or	economic
resources.									

Other Relevant Information Please provide any additional information you may have to help us better understand what has occurred

Are you providing any supporting documents?			
	No		
Please indicate the supporting documentation you are providing.			